

1 **Mechanisms underpinning climatic impacts on natural populations:**  
2 **altered species interactions are more important than direct effects**

3 Running head: Mechanisms of climate change

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32 **Abstract**

33 Shifts in species' distribution and abundance in response to climate change have been well  
34 documented, but the underpinning processes are still poorly understood. We present the  
35 results of a systematic literature review and meta-analysis investigating the frequency and  
36 importance of different mechanisms by which climate has impacted natural populations. Most  
37 studies were from temperate latitudes of North America and Europe; almost half investigated  
38 bird populations. We found significantly greater support for indirect, biotic mechanisms than  
39 direct, abiotic mechanisms as mediators of the impact of climate on populations. In addition,  
40 biotic effects tended to have greater support than abiotic factors in studies of species from  
41 higher trophic levels. For primary consumers, the impact of climate was equally mediated by  
42 biotic and abiotic mechanisms, while for higher-level consumers the mechanisms were most  
43 frequently biotic, such as predation or food availability. Biotic mechanisms were more  
44 frequently supported in studies that reported a directional trend in climate than in studies with  
45 no such climatic change, although sample sizes for this comparison were small. We call for  
46 more mechanistic studies of climate change impacts on populations, particularly in tropical  
47 systems.

## 48 **Introduction**

49 Climate is often regarded as the ultimate factor determining species' distributions, although  
50 disentangling climatic influences from other factors can be difficult (Gaston, 2003; Huntley  
51 *et al.* 2007). Climate may limit species' distributions directly (Iversen, 1944; Root, 1988;  
52 Gross & Price, 2000), but in other cases the link to climate may be mediated by biotic  
53 interactions (Pienkowski, 1984; Ferrer *et al.*, 1991), and there remains considerable debate  
54 about how species' range boundaries are determined (Gaston, 2003). Climate change has  
55 greatly increased the significance of this debate. The global climate has warmed at an  
56 increasing rate over the past century (IPCC, 2007), leading to widely reported changes in  
57 distribution and abundance of species (Parmesan & Yohe, 2003; Hickling *et al.*, 2006; Chen  
58 *et al.*, 2011), as would be expected if climate was limiting. Numerous studies have attempted  
59 to predict the impacts of climate change on natural populations using spatial relationships,  
60 which model species' distributions as a function of climate. Such climate change projections  
61 can then be used to estimate the potential future range of species and their probability of  
62 extinction (Thomas *et al.*, 2004; Huntley *et al.*, 2007; Hole *et al.*, 2009). Due to their  
63 correlative nature, these approaches have been criticised for being vulnerable to Type I error  
64 (e.g. Beale *et al.*, 2008). Therefore, more process-based models (e.g. Peery *et al.*, 2012) have  
65 recently been developed to improve projections of biodiversity responses to climate change  
66 (Chevin *et al.*, 2010; McMahon *et al.*, 2011) and inform adaptive conservation responses  
67 (Dawson *et al.*, 2011; Pearce-Higgins *et al.*, 2011, Hole *et al.*, 2011). However, these models  
68 require knowledge about the underlying ecological mechanisms by which climate affects  
69 populations (Geyer *et al.*, 2011) and this knowledge is currently limited.

70

71 Cahill *et al.* (2013) recently reviewed the mechanisms by which climate change affects  
72 extinction risk, but found just seven studies that identified proximate causes of local

73 extinction and eleven that examined causes of population change due to climate change.  
74 Their conclusion, based on this limited sample, was that the principal climate change related  
75 threats to populations may come from altered species interactions, rather than direct effects of  
76 temperature or precipitation. Additional insights into the mechanisms by which climate  
77 affects populations could be gained from a synthesis of the many published studies which  
78 have examined changes in population growth rates and demographic parameters in response  
79 to climatic variation. Negative effects can provide an early indication of range contractions  
80 and population declines, but positive effects may also be important, signalling potential  
81 colonisation events and range expansions. Improving our mechanistic understanding will  
82 enhance our ability to make projections of the ways that climate change will impact not just  
83 populations, but also the structure and function of ecological communities.

84

85 In this study, we use the results of a systematic review and meta-analysis of literature to  
86 investigate the mechanisms by which variation in climatic variables may drive population  
87 increases and declines. Proximate mechanisms were defined as the direct processes by which  
88 climate affects the physiology, behaviour or environment of an organism, and hence impacts  
89 survival or productivity. In addition to describing these mechanisms, we examine whether  
90 they vary spatially or between different types of species, in order to improve our  
91 understanding of species' vulnerability to climate change and inform appropriate  
92 conservation responses. Specifically we address the following four questions:

- 93 1) What is the geographical and taxonomic coverage of studies that have tested  
94 proximate mechanisms linking climate variables to population change, and do these  
95 studies exhibit biases compared to studies that do not identify mechanisms?
- 96 2) What are the most frequently supported proximate mechanisms underpinning the  
97 effects of climate on natural populations?

98 3) Does the importance of different proximate mechanisms vary with latitude or  
99 ecosystem?

100 4) Does the importance of different proximate mechanisms vary with characteristics of  
101 the species (taxonomic grouping, trophic level and endotherms versus ectotherms)?

102

103 Our review is based on studies of global terrestrial and freshwater biodiversity that reported  
104 population responses to changes in climatic variables over a period of at least 20 years. Many  
105 of these document changes in response to natural year-to-year fluctuations in the weather,  
106 rather than explicitly examining the consequences of long-term climate change. The extent to  
107 which information from these studies can be applied to an assessment of the impacts of  
108 climate change is uncertain. However, a significant subset of studies did report the trend in  
109 climate over the study period, enabling us to examine whether study systems reporting  
110 climate change were affected by the same mechanisms as those where no directional climate  
111 trend was reported.

112 **Materials and methods**

113 The systematic review was conducted through a literature search using ISI Web of  
114 Knowledge on 14/11/11. Key words were selected to identify demographic studies  
115 (*Population\**, *Demograph\**, *Reproduct\**, *Decline\**, *Abundance* , *Breeding*, *Survival*,  
116 *Mortality*, *Fecundity*, *Density*, *Productivity*) of climate change impacts (*Climat\**, *Global*  
117 *warming*, *Sea-level rise*, *Elevated CO2*, *Elevated carbon dioxide*, *Global environmental*  
118 *change*) that clearly related changes to specific environmental drivers (*Temperature\**, *Fire\**,  
119 *Glaci\**, *Snow pack*, *O2*, *Oxygen*, *Flood\**, *Drought\**, *Ground-water levels*, *Precipitation*,  
120 *Thermal stratification*, *Sea-level rise*, *Cloud cover*, *Humidity*, *CO2*, *Carbon dioxide*, *UV*,  
121 *Ultra violet*, *Water current*, *Salinity*, *Nutrient*, *Erosi\**, *Wind\**, *Rainfall*, *Storm\**, *Hurricane*,  
122 *Cyclone*, *Typhoon*). This generated 30,880 hits that were filtered by title and abstract and  
123 subsequently by content to produce a list of studies that correlated annual variation in  
124 demographic metrics with climate variables over at least 20 years (a period considered  
125 sufficient to detect effects of climatic variation above other processes affecting abundance).  
126 Only studies of terrestrial and freshwater taxa were retained, as marine organisms are  
127 expected to respond to different climatic variables (Burrows *et al.*, 2011; Sunday *et al.*,  
128 2012). The initial screening of titles and abstracts was carried out by three individuals (DWB,  
129 JAC and ECW), and Kappa scores were calculated across a subset of 400 papers to check for  
130 consistency between reviewers. Discussion between reviewers resulted in reasonable  
131 consistency (Kappa score >0.6) for all pairs of reviewers. Once the contents filtering stage  
132 was reached, each study was scrutinised by at least two people, and any discrepancies were  
133 discussed until a consensus decision was reached. The screening retrieved a final set of 146  
134 studies investigating the effects of climate on demography, covering 1,543 separate analyses  
135 of population time-series. The majority of these examined variation in temperature (53  
136 studies) or precipitation (69 studies), while 22 reported variables that were combinations of

137 temperature and precipitation effects. A further 37 studies included a variable describing  
138 changes in large-scale circulation patterns (NAO or ENSO), six contained variables that  
139 related to storm frequency or wind strength, while one study tested variation in fire  
140 frequency.

141

#### 142 *Publication bias*

143 Of the 146 studies identified in the literature search, 87 tested one or more proximate  
144 mechanisms that could underpin the relationship between climate and demography  
145 (abundance, productivity or survival), totalling 273 separate tests. Studies were only  
146 considered to have tested a mechanism if it was specified in the introductory or methods  
147 sections of a paper, rather than used as a *post hoc* explanation for observed correlations.

148

149 We first tested for publication bias in the type of study that examined mechanisms compared  
150 to those that did not. This was achieved by modelling the proportion of population time-series  
151 analysed that tested for a proximate mechanism as a function of several possible bias  
152 variables. Models were constructed using a generalised linear mixed model (GLMM) with a  
153 binomial error distribution and logit link function. Study identity was included as a random  
154 effect to account for the non-independence of separate time-series or climate variables  
155 analysed within the same study. Explanatory variables tested in the model were study  
156 duration (in years), latitude (degrees from the equator), taxonomic group (split into birds,  
157 mammals, fish, invertebrates and plants; amphibians were excluded as only four time series  
158 were found) and ecosystem (two-level factor separating freshwater from terrestrial). All  
159 analysis was conducted using SAS software version 9.2 (SAS Institute, 2008).

160

#### 161 *Proximate mechanism support*

162 The relative importance of different mechanisms was assessed by modelling whether or not  
163 the *a priori* expectation was supported for each population time series. Mechanisms were  
164 split into categories and subcategories based on previous studies (Geyer *et al.*, 2011, Cahill *et*  
165 *al.*, 2013), and were also separated into biotic (indirect) and abiotic (direct) factors (Table 1).  
166 Biotic mechanisms describe processes where the effect of climate on the focal species is  
167 mediated via effects on another species, such as changes in food resources or predator  
168 populations, while mechanisms were classified as abiotic if the climate variable affects the  
169 organism directly, such as heat-stress leading to a reduction in survival. We followed the  
170 analytical framework outlined above, using a GLMM with binomial error distribution and  
171 logit link function. The response variable was the ‘support index’, calculated as the  
172 proportion of tests of a mechanism that were supported in a study. If only one test was carried  
173 out then the index had a value of 0 or 1, but in many cases there were multiple tests of a  
174 mechanism (using several climate variables or a range of demographic responses to examine  
175 a single mechanism), some of which may have been supported, while others were not; the  
176 support index allowed us to account for this in our analysis. The explanatory variable was the  
177 mechanism category.

178

179 Mechanisms were classified according to the level of evidence provided to support their role  
180 in effecting the impacts of climate. They were categorised as: (i) unsupported by published  
181 studies (19 cases); (ii) supported by published studies from a different, but ecologically or  
182 taxonomically similar, species (103 cases); (iii) supported by published studies on the same  
183 species from a different population (51 cases); (iv) supported by published studies from the  
184 same population (57 cases); (v) demonstrated within the focal study (43 cases), which in  
185 many cases were independent measures of the mechanism of interest, such as variation in  
186 prey or predator abundance, that were reported in the same paper. Mechanisms backed by

187 higher levels of evidence (i.e. from the same species) were more frequently supported than  
188 those based on no published evidence or evidence from a different species ( $F_{1,182} = 5.4$ ,  $P$   
189  $=0.02$ ). In order to reduce this bias we based our main analysis of mechanism importance on  
190 those mechanisms supported by evidence from the same species only, which was either  
191 presented in the same paper or cited in another study of the same species (categories (iii) –  
192 (v) above). This gave us a dataset of 151 tests of mechanisms across 64 studies for the main  
193 analysis (study details shown in Appendix S1). However, results of analyses including data  
194 from studies based on all levels of evidence were qualitatively similar, and are presented in  
195 Appendix (S2).

196

#### 197 *Variation in proximate mechanism support*

198 Following the same analytical approach of using a GLMM to model the support index, we  
199 tested whether mechanism support differed with respect to variables relating to the study  
200 system (latitude and ecosystem) and ecological traits of the study species (taxonomic group,  
201 thermal strategy (endotherms versus ectotherms) and trophic level (primary producers,  
202 primary consumers and secondary or higher consumers)). This was achieved by testing the  
203 significance of the interaction term between each variable and mechanism category. For this,  
204 mechanism category was simplified into biotic versus abiotic factors, in order to provide  
205 sufficient within-category variation for the analysis.

206

207 The same climate variable may affect different populations via different mechanisms,  
208 depending on their demographic response to that variable. For example, a negative population  
209 change in response to warming may be underpinned by a different mechanism to a positive  
210 response. For studies where a significant relationship between demography and either  
211 temperature or precipitation was detected, we tested whether the direction of this relationship

212 (modelled as a logistic regression where 1 was positive and 0 negative) varied between  
213 mechanism-types.

214

215 Finally, we tested whether mechanism importance varied between studies which reported a  
216 directional trend in the climate variable over the study period, and those which did not. This  
217 was achieved using a subset of studies in which such information was presented, by  
218 interacting mechanism categorisation (biotic versus abiotic) with a two-level factor  
219 describing climatic trend (present or absent).

220

## 221 **Results**

### 222 *Publication bias*

223 The 146 studies of the effects of climate on populations that were identified by the systematic  
224 review included 352 separate analyses of population time series, of which 162 were of bird  
225 populations, 74 mammals, 52 invertebrates, 21 fish, 4 amphibians and 39 plants (35 of which  
226 were trees). The majority (301) of species were terrestrial, with just 51 freshwater species  
227 examined. Of the animals, 141 were primary consumers and 172 secondary or higher-level  
228 consumers. The vast majority of studies were carried out at temperate latitudes (35° - 70°) of  
229 North America and Europe (Fig. 1).

230

231 Over half of the studies identified in the literature search (87 of 146) tested at least one *a*  
232 *priori* proximate mechanism linking the effects of climate with demography. There was no  
233 significant difference in latitude ( $\chi^2_1 = 2.2$ ,  $P = 0.14$ ), ecosystem (terrestrial versus freshwater:  
234 ( $\chi^2_1 = 2.3$ ,  $P = 0.13$ ), trophic level ( $\chi^2_2 = 0.28$ ,  $P = 0.87$ ) or study duration ( $\chi^2_1 = 0.51$ ,  $P = 0.47$ )  
235 between studies that did and did not test a proximate mechanism. However, there was a  
236 statistically significant difference in the likelihood of testing a proximate mechanism between  
237 taxa ( $F_{4,207} = 2.9$ ,  $P = 0.02$ ), which was largely driven by significant contrasts between  
238 mammals (where 73% of studies tested a mechanism) and fish (18% studies tested a  
239 mechanism,  $F_{1,270} = 9.4$ ,  $P = 0.002$ ) and between mammals and invertebrates (47% tested,  
240  $F_{1,176} = 4.82$ ,  $P = 0.03$ ).

241

### 242 *Proximate mechanism support*

243 The level of support varied between different mechanism types ( $F_{5,77} = 2.5$ ,  $P = 0.04$ ; Fig. 2),  
244 driven by significant contrasts between predation and all other mechanism types ( $P < 0.03$  for

245 all contrasts with predation). Overall, biotic mechanisms were significantly more frequently  
246 supported by the evidence than abiotic factors ( $F_{1,86} = 6.1, P = 0.02$ ).

247

#### 248 *Variation in proximate mechanism support*

249 The relative importance of biotic versus abiotic mechanisms did not differ with latitude ( $F_{1,84}$   
250  $= 0.6, P = 0.44$ ), species' thermal strategy ( $F_{1,84} < 0.01, P = 0.92$ ), taxonomic group ( $F_{4,80}$   
251  $= 0.73, P = 0.57$ ) or ecosystem ( $F_{1,85} = 0.14, P = 0.71$ ), but did vary with respect to trophic-level  
252 (interaction between trophic level and mechanism-type,  $F_{1,83} = 5.96, P = 0.02$ , Fig. 3).

253 Investigations of the effect of biotic factors on plants were rare (only two out of twenty tests  
254 amongst producers were of biotic mechanisms, which were therefore excluded from this  
255 comparison) while only 11% of tests of abiotic mechanisms were supported among plants.  
256 Primary consumers appeared equally affected by both biotic and abiotic mechanisms, while  
257 populations of higher consumers were most strongly affected by biotic mechanisms (Fig. 3).

258

259 The relative importance of biotic and abiotic mechanisms varied with the direction of the  
260 relationship between precipitation and population metrics ( $F_{1,31} = 7.1, P = 0.01$ ). Abiotic  
261 mechanisms were more likely to be underpinned by negative effects of precipitation, while  
262 biotic mechanisms were more likely to drive positive population responses to precipitation.

263 There was no equivalent contrast in the relative importance of different mechanism types  
264 between studies of the positive and negative effects of temperature on populations ( $F_{1,27} = 2.1,$   
265  $P = 0.16$ ).

266

#### 267 *Results from studies documenting climate change*

268 Of the 64 studies which tested a mechanism mediating the effect of climate variables on  
269 demography that was supported by evidence from the same population or species, fewer than

270 half (27) reported whether there was a climatic trend over the study period. Among these 27  
271 studies, there were 64 tests of the effect of climate on demography, of which 39 (61%)  
272 reported a directional change in the climate variable tested. Among studies that reported no  
273 trend in climate variables through time only 9% of tests on population time-series supported a  
274 mechanism compared to 44% in studies which did report a directional change in climate,  
275 although this difference was non-significant ( $F_{1,36} = 2.46$ ,  $P = 0.13$ ). The previously  
276 identified contrast in the relative importance of biotic and abiotic mechanisms was detected  
277 only in studies where a significant change in climate had been observed ( $F_{1,34} = 7.47$ ,  $P =$   
278 0.01; Fig. 4).

279

280 Studies that reported climate trends were much more likely to be of higher consumers than  
281 primary consumers. While 46% of studies of higher consumers showed a climatic trend, only  
282 4% of studies of primary consumers did so; climate trends were not reported in 37 % and  
283 80% of studies respectively. Therefore, it is possible that the previously identified contrast in  
284 the importance of biotic versus abiotic mechanisms between trophic levels may be partially  
285 confounded by the effects of recent climatic trends; unfortunately there were insufficient data  
286 to thoroughly analyse this possibility.

287

288 **Discussion**

289 *Proximate mechanism support*

290 Our principal finding is that biotic mechanisms, associated with altered species interactions,  
291 appear to be more important drivers of the relationship between populations and climate than  
292 abiotic mechanisms describing direct effects of climate. This result provides a novel insight,  
293 as it is based on a large number of long-term studies of impacts of climate on populations,  
294 including both positive (indicative of population increases and colonisation of new areas) and  
295 negative effects (potentially indicative of extinction risk). Predation received twice the level  
296 of support achieved by other mechanisms, although this finding was based on the results of  
297 22 tests spread over only five different studies. When the results from all studies that  
298 identified a mechanism were considered, rather than only those where the mechanism was  
299 supported by studies on the same species, then the contrast between predation and other  
300 important mechanisms, such as changes in food availability and phenological mismatch, was  
301 less clear (Appendix S2). It is the combined support for these three mechanisms (predation,  
302 food availability and phenological mismatch) that led to the significantly greater level of  
303 support for biotic compared to abiotic mechanisms.

304

305 The importance of changing species interactions was also recognised in a recent analysis of  
306 18 studies of climate-related local extinctions, population declines and oscillations (Cahill *et al.*,  
307 2013). Taken together, these results emphasise the need to understand the impacts of  
308 climate on interactions within ecological communities in order to fully assess the likely  
309 responses of populations to climate change. Many recent studies of species' vulnerability to  
310 climate change have focussed on climatic tolerances alone (Deutsch *et al.*, 2008; Bonebrake  
311 & Mastrandrea, 2010; Sorte *et al.*, 2011; Sunday *et al.*, 2012; Araújo *et al.*, 2013), which our  
312 results suggest are therefore likely to be incomplete. There is an urgent need for more

313 mechanism-based assessments of the impacts of climate change on species, populations and  
314 communities. While some such studies have recently been published (Both *et al.*, 2006;  
315 Pearce-Higgins *et al.*, 2010; van de Pol *et al.*, 2010; Harley, 2011; Martin & Maron, 2012), a  
316 step-change in their frequency is required to begin to develop the level of mechanistic  
317 understanding required for realistic process-based models of climate change impacts (Chevin  
318 *et al.*, 2010; Dawson *et al.*, 2011).

319

320 Our review also revealed that while some ecological mechanisms have been examined  
321 frequently others have received scant attention, with mechanisms of direct interactions  
322 between trophic levels (predators and prey), phenological change and direct temperature and  
323 water stresses most often studied (Table 1). Given the role that inter-specific competition  
324 plays at species' range margins (Ahola *et al.*, 2007; Bridle & Vines, 2007), the absence of  
325 studies investigating competition-related mechanisms was a surprising omission, although it  
326 may be partially explained by the complexities of attributing population changes to  
327 competition.

328

### 329 *Variation in proximate mechanism support*

330 Biotic mechanisms were more likely to underpin studies where precipitation positively  
331 affected populations, while abiotic mechanisms were more frequent in cases where  
332 precipitation had a negative effect. Thus, the negative effects of low rainfall are most likely to  
333 impact a species via other interacting populations, such as food resources or predators (e.g.  
334 Chase *et al.*, 2005), rather than by direct water stress. Conversely, populations that decline in  
335 response to high levels of precipitation tend to do so because of direct detrimental effects of  
336 flooding (e.g. Ratcliffe *et al.*, 2005) or positive effects of dry weather, such as triggering  
337 masting in beech trees (Piovasen *et al.*, 2001).

338

339 We extended our comparison of biotic and abiotic mechanisms to demonstrate that the  
340 importance of different proximate mechanisms varied with trophic level. Specifically, we  
341 found that populations of primary consumers tended to be more sensitive to direct impacts of  
342 climate than higher consumers. The latter were more frequently affected by biotic  
343 interactions, with studies of Arctic foxes *Vulpes lagopus* (Hersteinsson *et al.*, 2009), badgers  
344 *Meles meles* (MacDonald *et al.*, 2010) and golden plovers *Pluvialis apricaria* (Pearce-  
345 Higgins *et al.*, 2010) all highlighting impacts of reduced prey abundance. This emphasises the  
346 need to improve our understanding of the potential for climate change to disrupt existing  
347 ecological interactions, which could be achieved by more studies that jointly monitor  
348 populations of species at different trophic levels within an ecosystem.

349

350 We found only one study showing plant populations were limited by biotic interactions  
351 (Martin, 2007), while only 11% of tests of abiotic mechanisms in plants were at least partially  
352 supported by the evidence. This shortage of studies investigating biotic processes makes it  
353 difficult to know whether the low level of support for abiotic mechanisms is due to a relative  
354 insensitivity of plants to climate change (or our inability to detect their responses), or whether  
355 abiotic interactions are less important in this taxon. Our focus on investigations of inter-  
356 annual fluctuations in demographic variables as a function of temporal variation in climate  
357 variables may have limited the number of plant studies included. We did not consider other  
358 types of study (e.g. comparisons of change across space in relation to varying climatic trends,  
359 or studies of range change or community change) that may be better able to identify certain  
360 mechanisms, such as gradual climate-induced habitat change. Many studies of plants are  
361 likely to be of these types (e.g. Foden *et al.*, 2007; Virtanen *et al.*, 2010) and a review of such

362 studies may provide additional insight into the mechanisms underpinning responses to  
363 climate change in a different suite of species.

364

#### 365 *Publication bias*

366 There are clear limitations to our understanding of the way climate change is likely to impact  
367 natural populations, even in well-studied populations and systems. In the tropics, we know  
368 little of the potential impacts of climate change on populations, supporting other research  
369 demonstrating that tropical species are less-well studied and monitored (Amano &  
370 Sutherland, 2013). This is particularly worrying given that the majority of species, and most  
371 threatened species of global conservation concern, are concentrated at such latitudes (IUCN,  
372 2012). The significantly lower frequency of mechanism testing for freshwater fish and  
373 invertebrates compared to mammals reveals the need for more published analyses on such  
374 taxa. This is particularly important given our finding that the impacts of climate are often  
375 transmitted between trophic levels.

376

377 Despite the biases present in the taxa and latitudes of published study systems, we have  
378 assumed no bias in the frequency with which evidence relating to the different mechanisms  
379 has been published. It is possible that some mechanisms are only investigated once a  
380 researcher is reasonably confident of their importance (for example, some of the more  
381 complex indirect mechanisms), whereas it is reasonably straightforward to test for direct  
382 mechanisms even in poorly understood systems; it is plausible that this could have led to the  
383 greater support for biotic mechanisms. It is also conceivable that biotic mechanisms could be  
384 viewed as more ‘interesting’ and hence publishable, by authors, reviewers and editors. Our  
385 observation that biotic mechanisms were more frequently supported in studies which also  
386 reported significant climatic trends may conceivably be partly due to such a publication bias.

387 With our current dataset we are unable to investigate these possibilities; however we found  
388 little evidence of publication bias where we were able to test for it, in relation to the  
389 proportion of studies which tested specific mechanisms.

390

### 391 *Effects of climate change*

392 The greater importance of biotic mechanisms relative to abiotic ones appeared more marked  
393 in studies that documented an impact of climate change than those that reported no trend in  
394 climatic variables, although this comparison was based on a relatively small sample of studies  
395 (27 studies of mechanisms supported by evidence from the same species, or 35 studies  
396 irrespective of mechanism support, presented in Appendix S2). Climate change may therefore  
397 already be having a disruptive effect on interactions between species relative to the effects of  
398 normal fluctuations in the weather.

399

400 Regardless of this comparison, our results highlighting the general importance of biotic  
401 interactions imply there is an urgent need to understand species interactions within ecological  
402 communities in order to predict the impacts of climate change (Harrington *et al.*, 1999;  
403 Parmesan, 2006; Mustin *et al.*, 2007; Devictor *et al.*, 2012). For many systems, particularly  
404 those outside Europe and North America, this will require relatively basic ecological studies  
405 on species interactions. Long-term monitoring is required to quantify the importance of  
406 different mechanisms in driving population change (Morrissette *et al.*, 2010), while  
407 experimentation may also be used to test the importance of potential proximate mechanisms  
408 (Martin & Maron, 2012). Ultimately, this information can be used to identify potential  
409 adaptation responses to climate change (Carroll *et al.*, 2011; Pearce-Higgins, 2011).  
410 However, given limited conservation resources (Scott *et al.*, 2010; McCarthy *et al.*, 2012) it  
411 is not feasible to adopt this approach for more than a small number of priority species.

412 Therefore, a more realistic aspiration may be to better understand the general mechanisms  
413 through which climate determines species' abundance and distributions and by which climate  
414 change may affect population trends, in order to improve our ability to identify generic  
415 options for effective climate change adaptation, as well as highlighting where we may find  
416 exceptions to these generalisations.  
417

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423

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562  
563

564 **Supporting Information Legend**

565 Appendix S1: Details of studies used in analysis

566

567 Appendix S2: Results of analysis using full data set, including papers where evidence for  
568 mechanism was absent or based on a species other than the focal species.

569

570 **Tables**  
571

**Table 1.** Types of proximate mechanisms, their frequency in the literature, and their classification into biotic / abiotic factors.

<b>Mechanism category</b>	<b>Description</b>	<b>Subcategories</b>	<b>N</b>	<b>Biotic / abiotic</b>
Temperature stress	Direct impacts of temperature	Heat stress Cold-related mortality Energetic costs	26	Abiotic
Water stress	Direct impacts of too much or too little water	Desiccation / drought stress Consequences of flooding	36	Abiotic
Development rate	Direct impact on organism's growth or development rate		8	Abiotic
Habitat	Changes in habitat extent		5	Abiotic
Phenology	Changes in phenology	Loss of interactions due to phenological mismatch	30	Biotic
		Change in breeding phenology Change in migration phenology Change in breeding season length		Abiotic Abiotic Abiotic
Resources	Changes in resource availability	Change in food availability Change in foraging efficiency	17	Biotic Abiotic
Predation	Changes in predation interactions	Change in predator populations Change in predation risk	47	Biotic
Pathogens	Changes in pathogen populations		4	Biotic
Anthropogenic mortality	Changes in human-related mortality	Climate impacts frequency of traffic-related mortality	1	Biotic

## Figure legends

**Figure 1.** Location of studies of at least 20 years duration relating population time-series to climate. Black dots indicate studies where proximate mechanisms were tested (N =87) and white dots those where no mechanism accounting for the relationship between climate and demography were tested (N =59).

**Figure 2.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported) for each mechanism category, derived from data on mechanisms supported by evidence from the same species. Biotic mechanisms are in black, abiotic in white and mixed categories in grey. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Categories labelled with the same letter do not differ significantly ( $P > 0.05$ ); pairs of categories with non-matching letters therefore differ significantly ( $P < 0.05$ ).

**Figure 3.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported), for abiotic (white) and biotic (black) mechanisms across different trophic levels. Only data on mechanisms supported by evidence from the same species are presented. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Categories labelled with the same letter do not differ significantly ( $P > 0.05$ ); pairs of categories with non-matching letters therefore differ significantly ( $P < 0.05$ ).

**Figure 4.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported) for abiotic and biotic mechanisms between studies that document a climatic trend (black) and those that do not (white). Only data on mechanisms supported by evidence from the same species are presented. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Some studies are represented in more than one column. Categories labelled with the same letter do not differ significantly ( $P > 0.05$ ); pairs of categories with non-matching letters therefore differ significantly ( $P < 0.05$ ).

Figure 1



Figure 2

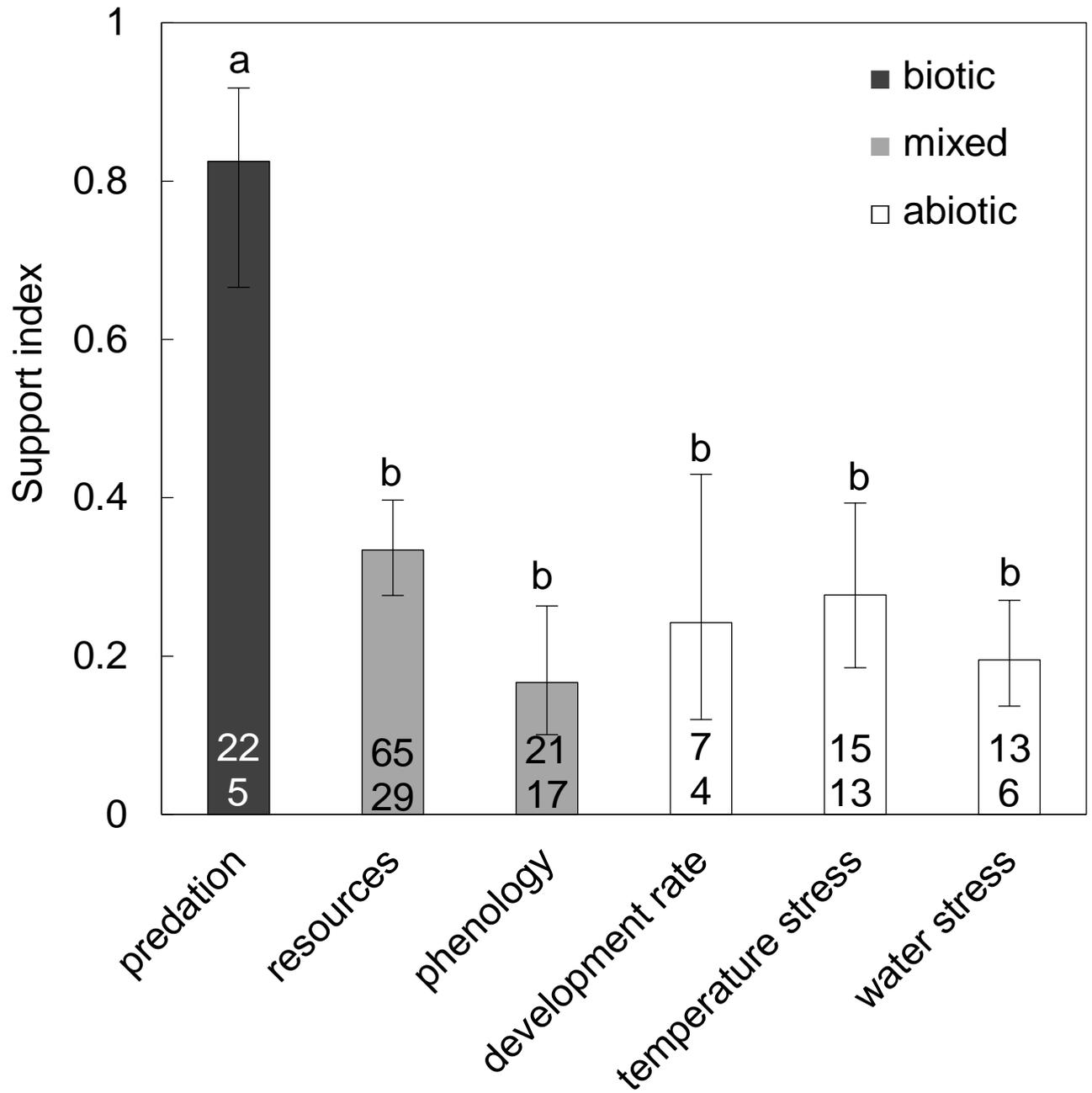


Figure 3

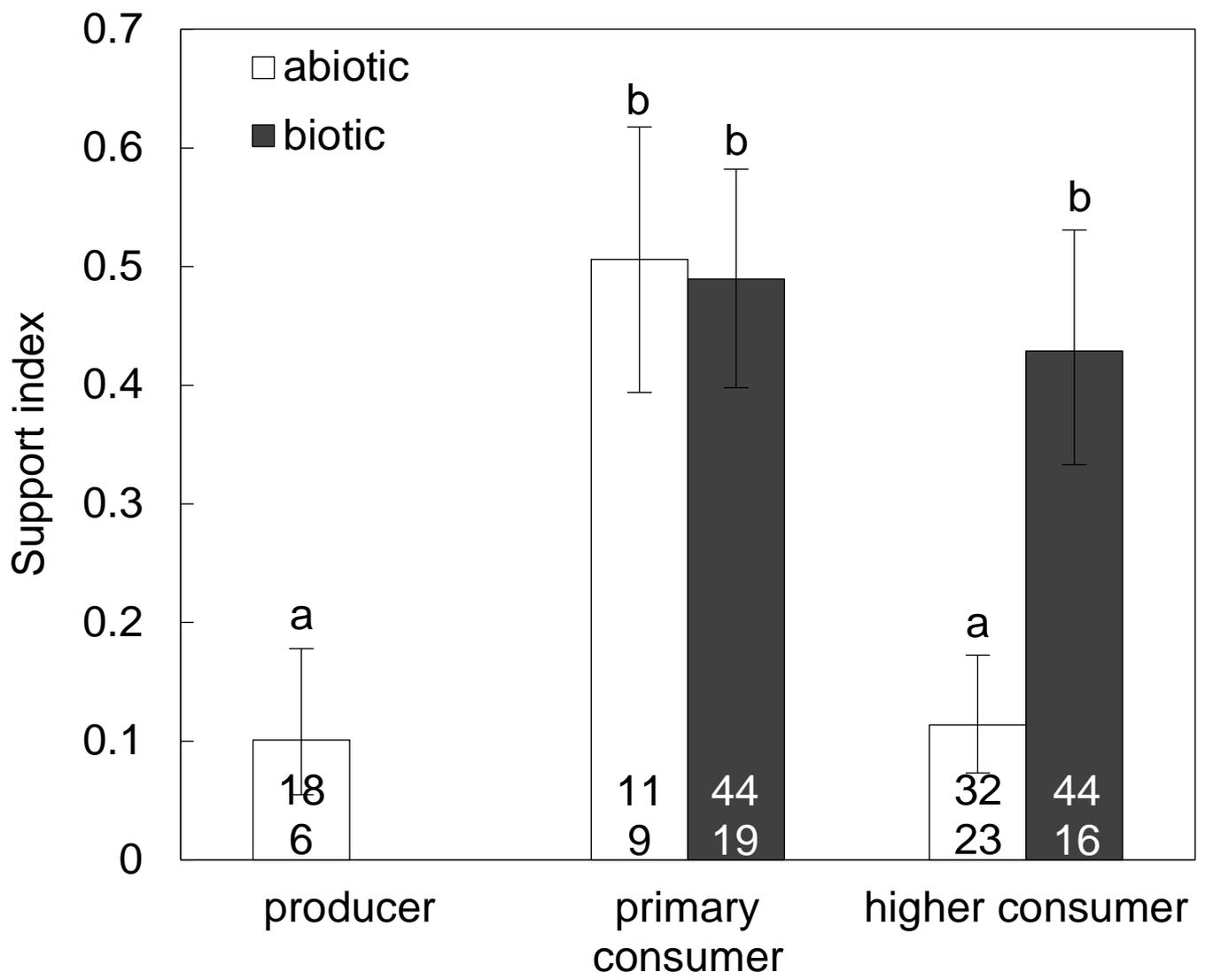
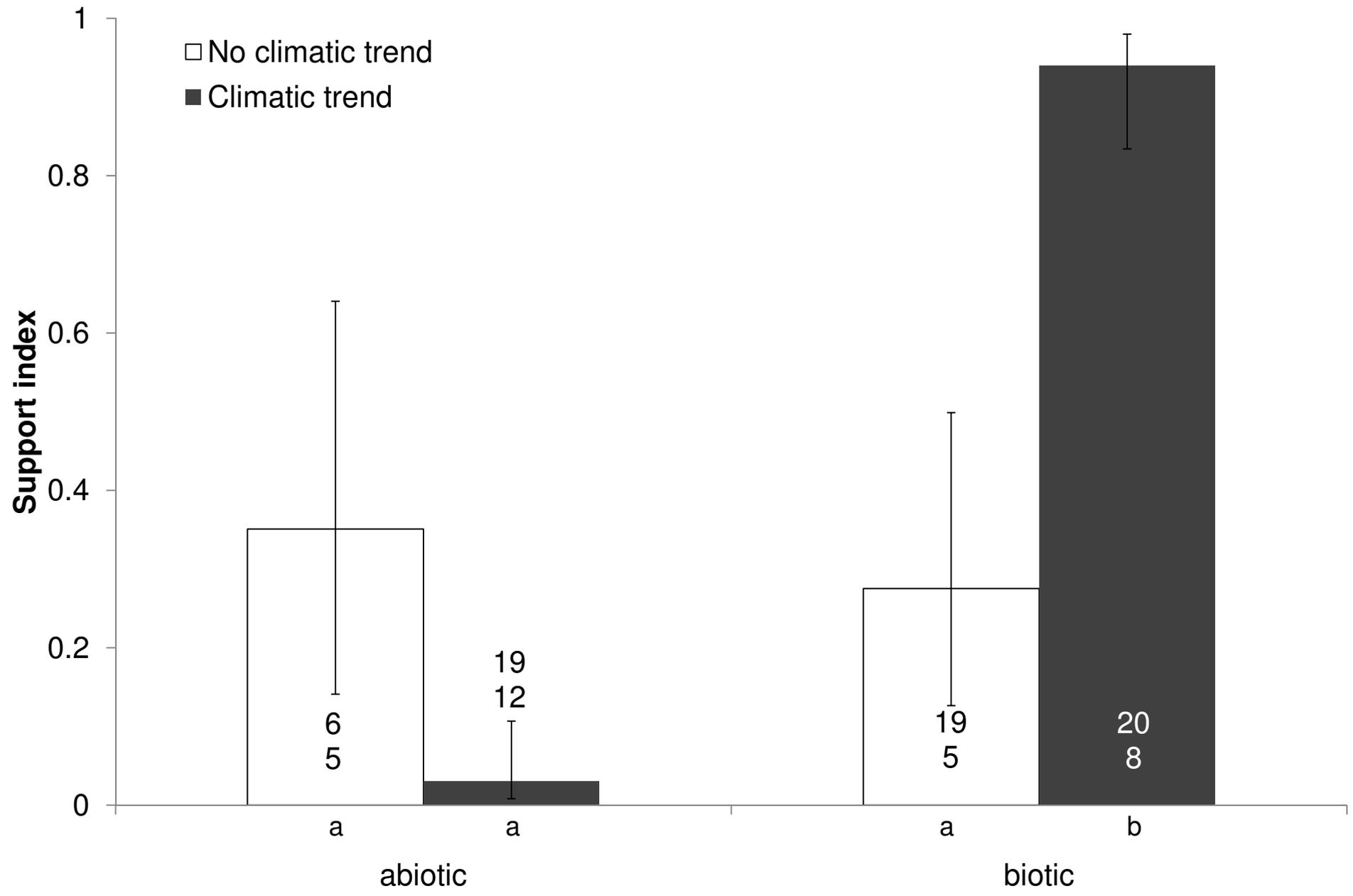


Figure 4



## Appendix S1 Details of studies used in analysis

Authors	Ecosystem	Duration (years)	Taxonomic grouping	Mechanism category	Specific mechanism	Biotic / Abiotic
Aanes <i>et al.</i> 2002	Terrestrial	21	Mammal	Changes in resource availability	Change in food availability	Biotic
Adamík & Král 2008	Terrestrial	26	Bird	Changes in predation interactions	Change in predator populations	Biotic
Ahola <i>et al.</i> 2009	Terrestrial	56	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Anders & Post 2006	Terrestrial	37	Bird	Changes in resource availability	Change in food availability	Biotic
Bender & Weisenberger 2005	Terrestrial	36	Mammal	Changes in resource availability	Change in food availability	Biotic
Brodie & Post 2010	Terrestrial	26	Mammal	Changes in resource availability	Change in foraging efficiency	Abiotic
Cattadori <i>et al.</i> 2005	Terrestrial	155	Bird	Changes in pathogen populations		Biotic
Chase <i>et al.</i> 2005	Terrestrial	21	Bird	Changes in phenology Changes in predation interactions	Change in breeding season length Change in predation risk	Abiotic Biotic
Conner <i>et al.</i> 2005	Terrestrial	20	Bird	Changes in resource availability	Change in foraging efficiency	Abiotic
Coughenour & Singer 1996	Terrestrial	23	Mammal	Changes in resource availability	Change in food availability	Biotic
Dunham <i>et al.</i> 2011	Terrestrial	20	Mammal	Changes in resource availability Direct impacts of too much or too little water	Change in food availability Desiccation / drought stress	Biotic Abiotic
Dyrcz & Halupka 2009	Terrestrial	38	Bird	Changes in phenology Changes in phenology	Change in breeding season length Change in breeding season phenology	Abiotic Abiotic
Falls <i>et al.</i> 2007	Terrestrial	36	Mammal	Changes in resource availability	Change in food availability	Biotic
Gerten & Adrian 2002	Terrestrial	20	Invertebrate	Changes in phenology Direct impact on organism's growth or development rate	Change in breeding season length	Abiotic Abiotic
Gilbert & Raedeke 2004	Terrestrial	20	Mammal	Direct impacts of temperature	Energetic costs	Abiotic
Glenn <i>et al.</i> 2011a	Terrestrial	21	Bird	Changes in resource availability Direct impacts of temperature	Change in food availability Cold-related mortality	Biotic Abiotic
Glenn <i>et al.</i> 2011b	Terrestrial	21	Bird	Changes in resource availability	Change in food availability	Biotic
Grau & Veblen 2000	Terrestrial	95	Plant	Changes in habitat extent		Abiotic
Hari <i>et al.</i> 2006	Freshwater	25	Fish	Direct impact on organism's growth or development rate Changes in pathogen populations		Abiotic Biotic

Hersteinsson <i>et al.</i> 2009	Terrestrial	27	Mammal	Changes in resource availability	Change in food availability	Biotic
Hone & Clutton-Brock 2007	Terrestrial	27	Mammal	Changes in resource availability	Change in food availability	Biotic
Hušek & Adamík 2008	Terrestrial	41	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Jovani & Tella 2004	Terrestrial	23	Bird	Direct impacts of temperature	Energetic costs	Abiotic
Kelsall <i>et al.</i> 2004	Terrestrial	81	Plant	Direct impacts of temperature Direct impacts of too much or too little water	Cold-related mortality Desiccation / drought stress	Abiotic Abiotic
Klaus 2007	Terrestrial	32	Bird	Changes in resource availability	Change in food availability	Biotic
Klvaňa <i>et al.</i> 2004	Terrestrial	132	Mammal	Direct impacts of temperature Changes in resource availability	Energetic costs Change in food availability	Abiotic Biotic
Kullman 2007	Terrestrial	32	Plant	Direct impacts of temperature	Cold-related mortality	Abiotic
Laaksonen <i>et al.</i> 2006	Terrestrial	59	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Lewellen & Vessey 1998	Terrestrial	23	Mammal	Direct impacts of temperature	Cold-related mortality	Abiotic
Lima <i>et al.</i> 2008	Terrestrial	23	Mammal	Changes in resource availability	Change in food availability	Biotic
Macdonald <i>et al.</i> 2010	Terrestrial	21	Mammal	Changes in resource availability Changes in pathogen populations Changes in human-related mortality	Change in food availability	Biotic Biotic Biotic
Magnusson <i>et al.</i> 2010	Terrestrial	22	Mammal	Changes in habitat extent		Abiotic
Manca & DeMott 2009	Freshwater	22	Invertebrate	Changes in predation interactions	Change in predation risk	Biotic
Martin 2007	Terrestrial	20	Plant Bird	Changes in predation interactions Changes in predation interactions	Change in predator population Change in predation risk	Biotic Biotic
Matthysen <i>et al.</i> 2011	Terrestrial	29	Bird	Changes in phenology	Change in breeding phenology	Abiotic
McGrath & Lorenzen 2010	Freshwater	22	Amphibian	Direct impact on organism's growth or development rate Changes in habitat extent		Abiotic Abiotic
McLaughlin <i>et al.</i> 2002	Terrestrial	38	Invertebrate	Changes in phenology	Loss of interactions due to phenological mismatch	Biotic
Morrisette <i>et al.</i> 2010	Terrestrial	30	Bird	Changes in resource availability Changes in phenology	Change in food availability Loss of interactions due to phenological mismatch	Biotic Biotic
Murray <i>et al.</i> 2006	Terrestrial	40	Mammal	Direct impacts of temperature	Heat stress	Abiotic
Nevoux <i>et al.</i> 2008	Terrestrial	22	Bird	Changes in resource availability	Change in food availability	Biotic

Ogutu & Owen-Smith 2005	Terrestrial	32	Mammal	Changes in resource availability Changes in predation interactions	Change in food availability Change in predation risk	Biotic Biotic
Parker 1993	Terrestrial	54	Plant	Direct impacts of too much or too little water Direct impacts of temperature	Desiccation / drought stress Cold-related mortality	Abiotic Abiotic
Peach <i>et al.</i> 1995	Terrestrial	21	Bird	Direct impacts of temperature	Cold-related mortality	Abiotic
Pearce-Higgins <i>et al.</i> 2009	Terrestrial	28	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Pearce-Higgins <i>et al.</i> 2010	Terrestrial	34	Bird	Changes in resource availability Changes in phenology Direct impacts of temperature	Change in food availability Loss of interactions due to phenological mismatch Cold-related mortality	Biotic Biotic Abiotic
Pérez-Ramos <i>et al.</i> 2010	Terrestrial	26	Plant	Direct impacts of too much or too little water	Desiccation / drought stress	Abiotic
Piovesan & Adams 2001	Terrestrial	105	Plant	Direct impacts of too much or too little water	Desiccation / drought stress	Abiotic
Post & Stenseth 1999	Terrestrial	20	Mammal	Changes in resource availability	Change in food availability	Biotic
Potti 2009	Terrestrial	24	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Pucek <i>et al.</i> 1993	Terrestrial	21	Mammal	Changes in resource availability	Change in food availability	Biotic
Reading 2007	Freshwater	23	Amphibian	Direct impacts of temperature	Energetic costs	Abiotic
Rehmeier <i>et al.</i> 2005	Terrestrial	20	Mammal	Changes in resource availability	Change in food availability	Biotic
Robinson <i>et al.</i> 2004	Terrestrial	37	Bird	Changes in resource availability	Change in food availability	Biotic
Schwartz & Armitage 2005	Terrestrial	29	Mammal	Changes in resource availability Changes in resource availability	Change in food availability Change in foraging efficiency	Biotic Abiotic
Selås 2001	Terrestrial	65	Bird	Changes in resource availability	Change in food availability	Biotic
Shone <i>et al.</i> 2006	Terrestrial	34	Invertebrate	Direct impact on organism's growth or development rate		Abiotic
Solberg <i>et al.</i> 2001	Terrestrial	21	Mammal	Changes in resource availability Changes in resource availability	Change in food availability Change in foraging efficiency	Biotic Abiotic
Sumacki & Stepniewski 2007	Terrestrial	20	Bird	Changes in phenology	Change in breeding season length	Abiotic
Suski & Ridgway 2007	Freshwater	22	Fish	Changes in phenology	Change in breeding phenology	Abiotic
Tratalos <i>et al.</i> 2010	Terrestrial	58	Invertebrate	Changes in resource availability Direct impacts of too much or too	Change in food availability Desiccation / drought stress	Biotic Abiotic

				little water		
Visser <i>et al.</i> 2006	Terrestrial	20	Bird	Changes in phenology	Loss of interactions due to phonological mismatch	Biotic
Vucetich & Peterson 2004	Terrestrial	40	Mammal	Changes in resource availability Changes in resource availability Direct impacts of temperature	Change in food availability Change in foraging efficiency Energetic costs	Biotic Abiotic Abiotic
Watson <i>et al.</i> 1998	Terrestrial	53	Bird	Changes in phenology	Change in breeding phenology	Abiotic
Winkler <i>et al.</i> 2002	Terrestrial	21	Bird	Changes in phenology	Change in breeding phenology	Abiotic

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**Appendix S2** Results of analysis using full data set, including papers where evidence for mechanism was absent or based on a species other than the focal species.

Analyses were also performed using the full set of studies returned after the literature search and screening, including studies where there was no evidence for the mechanism or the evidence for the mechanism was based on a different species. These gave results qualitatively similar to those presented in the main paper, where mechanisms were only included if they were based on evidence from the same species. Duration was found to be a significant predictor of mechanism importance in this dataset and was therefore retained in all analyses.

There was a significant difference in support between biotic and abiotic mechanisms ( $F_{1, 184} = 5.2, P = 0.02$ ), with biotic mechanisms more frequently supported than abiotic ones. Although there was not significant variation in the level of support for different categories of mechanism overall ( $F_{6, 175} = 1.1, P = 0.37$ ), there was a tendency for mechanisms relating to resource availability and predation to be supported more than those related to direct impacts of water stress (Fig. S1).

There was no significant effect of latitude ( $F_{5, 167} = 0.93, P = 0.47$ ;  $F_{1, 182} = 0.01, P = 0.90$ ), ecosystem ( $F_{5, 167} = 0.32, P = 0.90$ ;  $F_{1, 180} = 0.05, P = 0.82$ ), thermal strategy ( $F_{5, 165} = 0.76, P = 0.58$ ;  $F_{1, 179} = 0.08, P = 0.78$ ) or taxonomic grouping (model did not converge;  $F_{3, 172} = 1.4, P = 0.25$ ) on the relative importance of different mechanism types, or biotic versus abiotic mechanisms respectively. There was a significant difference in the support for biotic versus abiotic mechanisms between primary and higher consumers, driven by the low level of support for abiotic processes amongst higher consumers ( $F_{1, 153} = 4.1, P = 0.04$ ; Fig. S2).

The relative importance of biotic and abiotic mechanisms again varied with the direction of the relationship between precipitation and population metrics, with biotic mechanisms being more likely to show a positive effect of precipitation than abiotic mechanisms ( $F_{1,58} = 8.5$ ,  $P = 0.005$ ). There was no difference in the frequency of positive and negative relationships with temperature between abiotic and biotic factors in the full dataset ( $F_{1,38} = 2.6$ ,  $P = 0.12$ ).

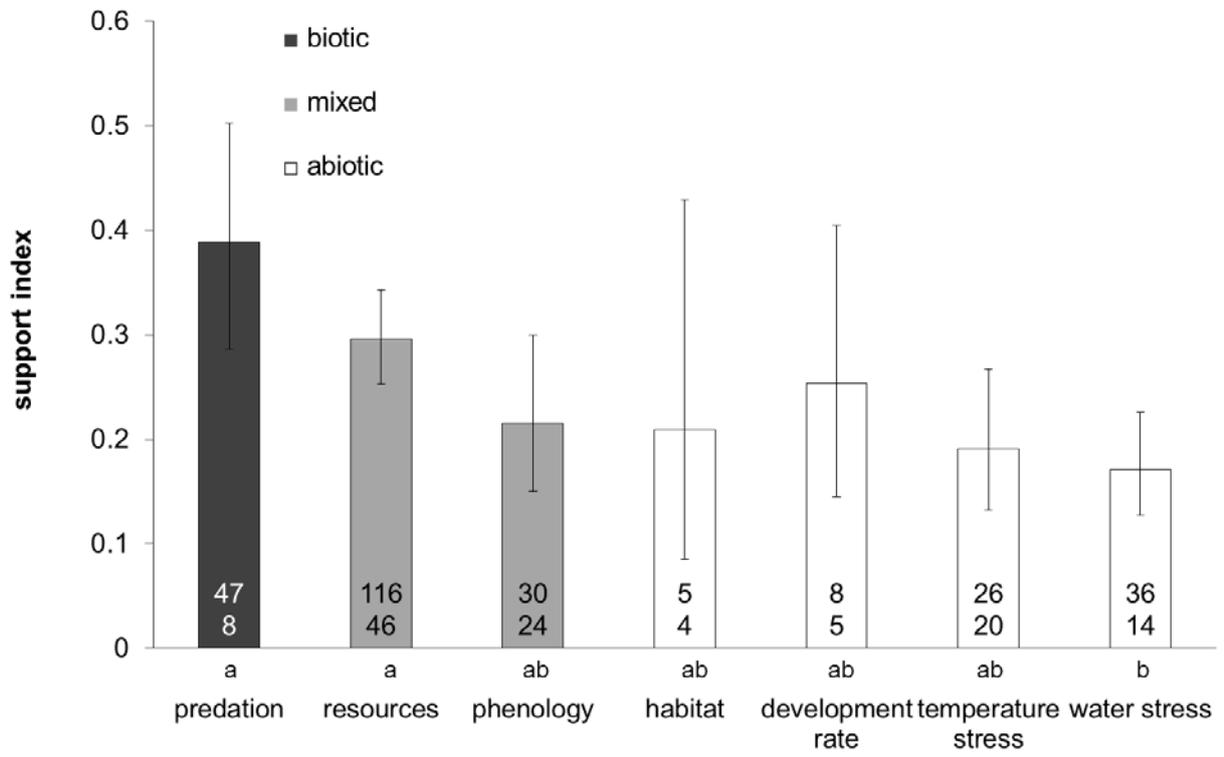
The interaction term testing whether there was a difference in the importance of biotic and abiotic mechanisms between studies that reported a trend in climate over the study period versus those without a trend, remained significant when analysed across this full dataset ( $F_{1,73} = 10.02$ ,  $P = 0.002$ ). Biotic factors appeared more important than abiotic factors in studies with a significant climatic trend, whilst in the absence of such a trend abiotic factors appeared to be more important (Fig. S3).

**Figure S1.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported) for each mechanism category. Biotic mechanisms are in black, abiotic in white and mixed categories in grey. Data are derived from all studies, irrespective of the level of support for a mechanism. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Letters link columns that do not differ significantly ( $P > 0.05$ ); columns of bars with all non-matching letters therefore differ significantly ( $P < 0.05$ ).

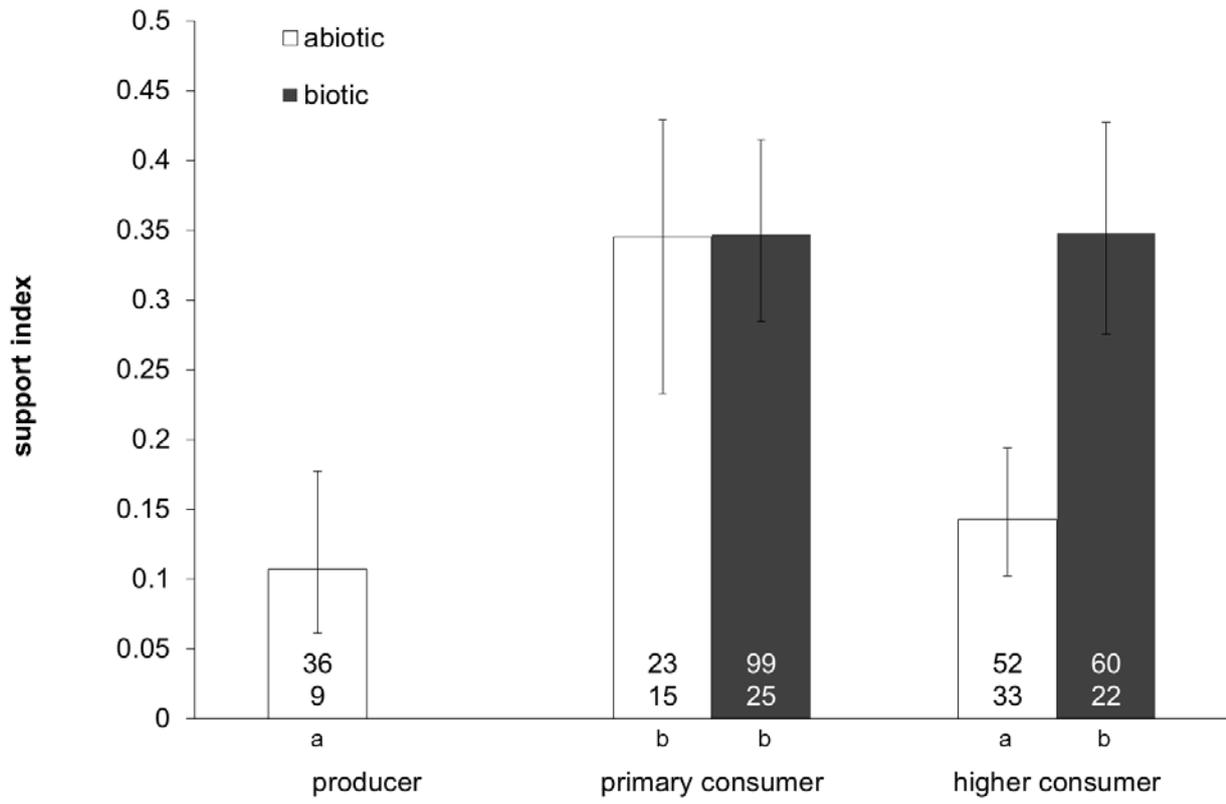
**Figure S2.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported) for abiotic (white) and biotic (black) mechanisms across different trophic levels). Data are derived from all studies, irrespective of the level of support for a mechanism. Biotic mechanisms are more frequently supported than abiotic factors and the relative importance of the two mechanism types varies with species' trophic level. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Letters link columns that do not differ significantly ( $P > 0.05$ ); columns of bars with all non-matching letters therefore differ significantly ( $P < 0.05$ ).

**Figure S3.** Mean support index  $\pm$  se (proportion of population time series for which a mechanism is supported) for abiotic and biotic mechanisms between studies that document a climatic trend (black) and those that do not (white). Data are derived from all studies, irrespective of the level of support for a mechanism. Labels on each column show the number of tests carried out (top number) and the number of studies from which the tests were drawn (bottom number). Some studies are represented in more than one column. Letters link columns that do not differ significantly ( $P > 0.05$ ); columns of bars with all non-matching letters therefore differ significantly ( $P < 0.05$ ).

**Figure S1**



**Figure S2**



**Figure S3**

